



# **Public Participation Meetings**

## **3-Year Disadvantaged Business Enterprise (DBE) Goal for Federal Fiscal Years 2024-2026**

**Thursday, August 17, 2023  
2:00 p.m. – 2:30 p.m.**

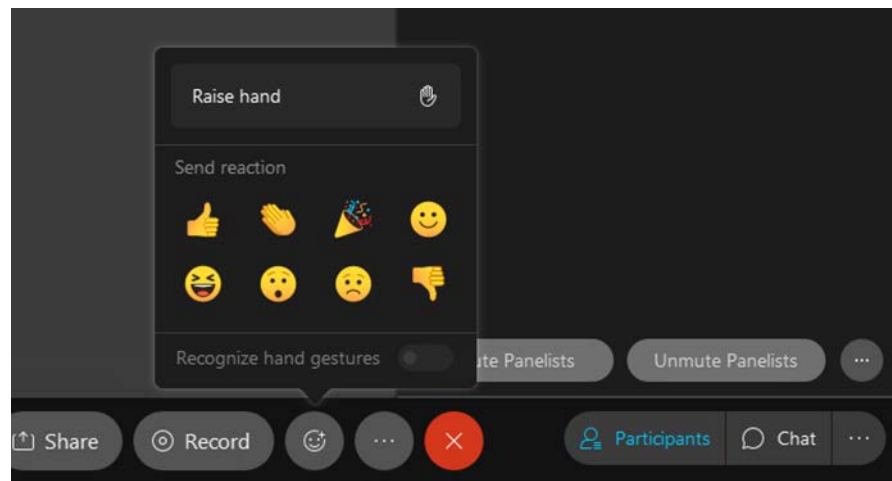
**Tuesday, August 22, 2023  
10:00 a.m. – 10:30 p.m.**

Joan Jeffries, Purchasing Manager  
Ian Berry, Purchasing Assistant

Tanya Gilliam, Purchasing Agent  
Roy Derham, Buyer

# Welcome to Santa Cruz METRO's Public Participation Meeting!

All participants are on Mute. Please raise hand (reaction feature) to request to unmute.



**This meeting is being recorded.**

# AGENDA

- Overview and Background of DBE Program
- DBE Goal-Setting Process
- METRO's Proposed 3-Year DBE Goal
- METRO's Upcoming Contracting Opportunities
- Business Outreach Committee (BOC)
- Open Forum (Questions and Comments)

# U.S. DOT DBE PROGRAM – 49 CODE OF FEDERAL REGULATIONS (C.F.R.) PART 26



## OPERATING ADMINISTRATIONS



**Federal Highway  
Administration**



**Federal Transit  
Administration**



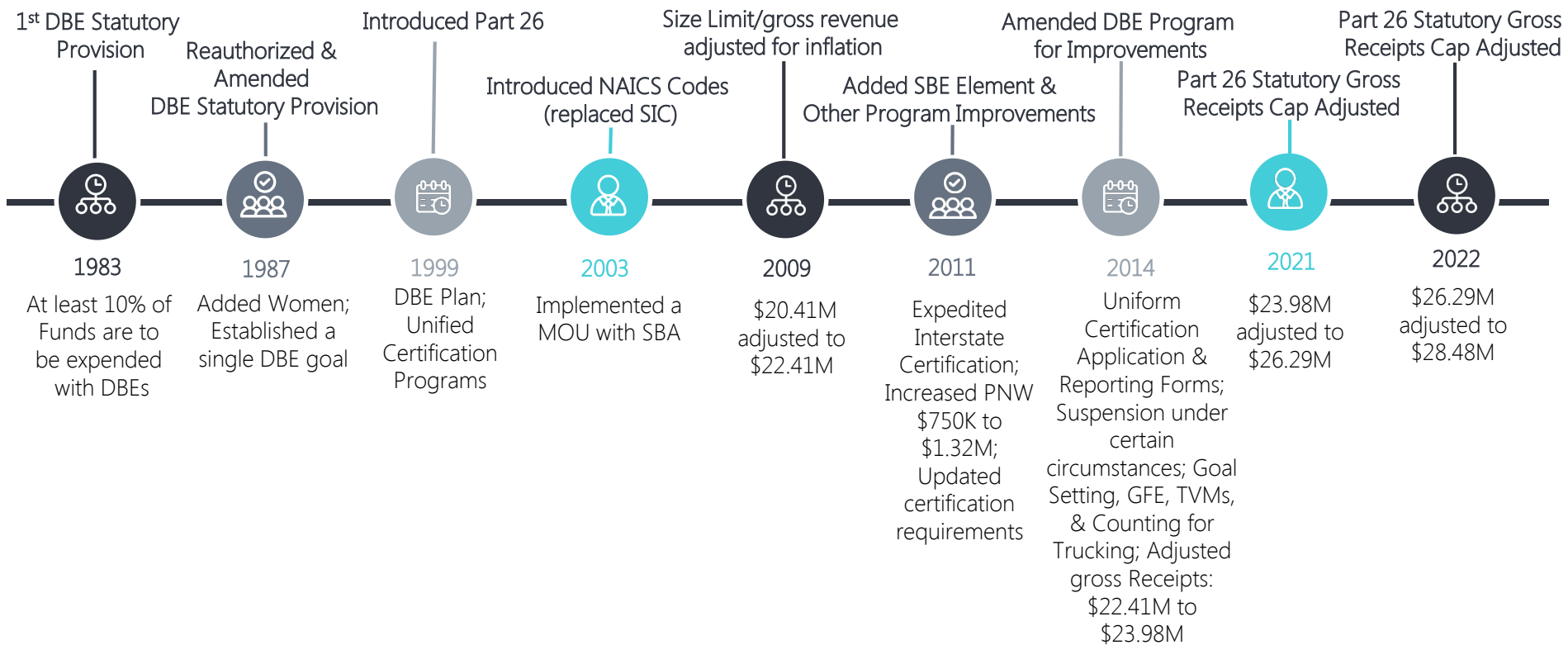
**Federal Aviation  
Administration**

# DBE Program Background



## CIVIL RIGHTS ACT OF 1964

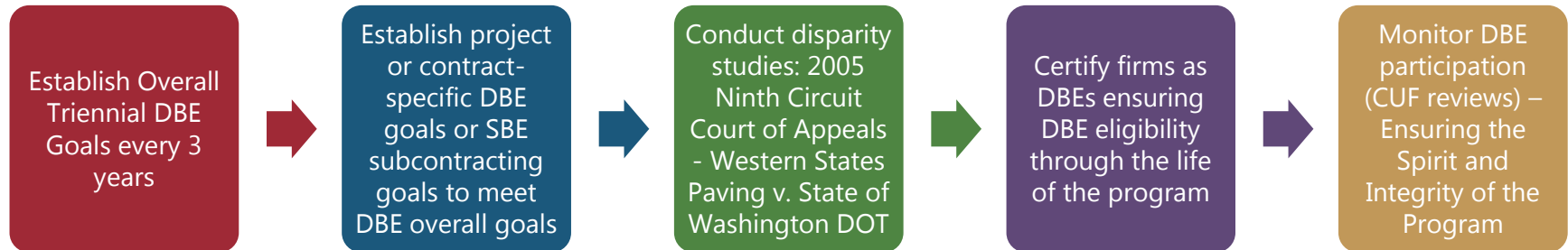
Create a level playing field and foster Equal Opportunity in DOT-assisted contracts



# Recipient Role

## DBE Regulations: 49 C.F.R. Part 26

State and Local Transportation Agencies (Recipients/Grantees) receive funds from operating administrations directly or as a subrecipient.



# Goal Setting Process

## 49 C.F.R. Part 26 §26.45

- Identify the projects to be expended with FTA funds (Transit Vehicle Manufacturers (TVMs) not included)
- Determine Relevant Geographic Market Area
- Gather the data to develop the Step One Base Figure

Base Figure represents the relative availability of DBEs in relevant Geographic Market Area (GMA) (§26.45(c))

$$\frac{\text{\# of ready, willing, and able DBEs}}{\text{\# of all firms ready, willing, and able (including DBEs and non-DBEs)}} = \text{Step One Base Figure \%}$$

# Goal Setting Process Continued

- Identify your data sources / Weighting by Work Category

Sources for data: \*UCP and Census Bureau; Bidders List; Disparity Study; Goal of another DOT Recipients; Alternative Methods

\* Most commonly used

- Review external evidence/adjustments – Step Two

Examine all available evidence and determine what adjustments, if any, are necessary. **Adjustments are not mandatory.**

- **Types of Evidence**

- Capacity of DBEs to perform work
- Evidence from disparity studies
- Barriers to DBEs to form, grow and compete (financing, bonding, & insurance)
- Past DBE participation
- Input from interested parties
- Other data at the recipient's discretion



## Goal Setting Process Continued

- Project Race-Neutral/Race-Conscious Split
- Notify the public – advertise proposed goal on website and/or publications
- Consult with outside stakeholders – Public Participation meetings
- Submit the DBE Goal Methodology document to the Federal Transit Administration
- Link to METRO's Overall DBE Goal and Goal-Setting Methodology document (also available on METRO's website): <https://scmttd.com/images/departments/newbid/DBE-Methodology-FINAL.pdf>

## METRO's Proposed 3-Year DBE Goal

- Santa Cruz METRO anticipates receiving approximately \$1,773,000 in federal funding from October 1, 2023 through September 30, 2026. METRO does not have FTA sub-recipients, nor does it pass-through FTA funds to other agencies; therefore, sub-recipient contracting opportunities do not exist and are not included in the goal calculation.
- Santa Cruz METRO anticipates using all of its available federal funds to purchase rolling stock (buses). Transit vehicle purchases are not subject to the DBE goal-setting process, as transit vehicle manufacturers (TVMs) are required to have their own DBE programs approved by the FTA.
- With no additional federally-funded contracting opportunities available for this 3-year period, METRO's proposed DBE goal for FFY24-FFY26 is 0%.
- While DBE opportunities for federally-funded projects during this triennial period are not anticipated, Santa Cruz METRO will continue to seek small and disadvantaged business participation on contracts, regardless of funding source. Santa Cruz METRO will also periodically evaluate its triennial DBE goal to determine whether mid-course adjustments will be necessary.

# Contracting Opportunities

Project	Estimated Budget	Schedule
Bay 11 HVAC Installation	\$120,347	Aug. 2023
Scotts Valley Transit Center Roof Replacement	\$100,000	Sept. 2023
Security Upgrade (Cameras/Servers)	\$200,000	Oct. 2023
Maintenance Yard Security Hardening / Expanded Parking	\$183,483	2024
New Bus Shelters (12)	\$300,000	2024
Maintenance Facility Upgrades	\$1,500,000	2025
Rapid Bus Enhancements – Soquel Dr. & Main St. Corridor	\$2,159,091	2025
New Hydrogen Fueling Station + Project Management	\$5,975,000	2025
New ParaCruz Facility	\$1,019,962	2025
Watsonville Transit Station Redevelopment	tbd	2025
Miscellaneous Small Projects	\$388,353	various

**Link to “Doing Business with Santa Cruz METRO” webpage:**

<https://scmttd.com/en/agency-info/business/contracting-opportunities>



# Business Outreach Committee (BOC)

The BOC is a consortium of Bay Area transit and transportation agencies whose goal is to assist small and disadvantaged companies in doing business with Bay Area Transportation Agencies.



Additional contracting opportunities can be found in the BOC's quarterly newsletters.

To find out more, visit the BOC webpage:

<https://www.goldengate.org/district/doing-business/business-outreach-committee/>

# Open Forum

We welcome your feedback, comments and questions.

Please remember to use the hand raise feature to request to be unmuted.

Comments can also be submitted to [DBE@scmtd.com](mailto:DBE@scmtd.com) until August 25, 2023.



**Thank you for your participation!**

Joan Jeffries, Purchasing Manager  
(831) 420-2570  
[jjeffries@scmtd.com](mailto:jjeffries@scmtd.com)